

**CALTRANS**

**STATE OF CALIFORNIA**

**DEPARTMENT OF TRANSPORTATION**

**BID**

FOR CONSTRUCTION ON STATE HIGHWAY IN  
MODOC COUNTY NEAR LIKELY FROM LASSEN COUNTY LINE TO 0.8  
MILE NORTH OF COUNTY ROAD 189  
In District 02 On Route 395

**UNDER**

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*Notice to Bidders and Special Provisions* dated April 07, 2025

Project plans approved February 20, 2025

*Standard Specifications* dated 2024

*Standard Plans* dated 2024

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Identified by

Contract No. 02-1K0804

02-Mod-395-0.0/5.0

Project ID 0224000049

**Electronic Bidding Contract**

Bids open Wednesday, May 07, 2025

Dated April 07, 2025

**STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION**  
**BID TO THE DEPARTMENT OF TRANSPORTATION**  
**DES-OE-0102.1 (REV 1/13/2017)**

NAME OF BIDDER Hat Creek Construction & Materials, Inc.

BUSINESS STREET ADDRESS 24339 State Highway 89N

CITY, STATE, ZIP Burney, CA, 96013

TELEPHONE NO. with AREA CODE 5303355501

FAX NO. with AREA CODE 5303355501

CONTRACTOR LICENSE NO. 590546

PUBLIC WORKS CONTRACTOR REGISTRATION NO. 1000003133

1. Bidder agrees, if this bid is accepted, to enter into a contract with the Department, in the form included in the Standard Specifications, to perform the work provided in the Contract under the terms of the Contract for the price or prices bid.

For a lump sum or unit price based bid, Bidder additionally agrees to perform the work within the number of working days shown on the Notice to Bidders.

For a cost plus time based bid on a contract without a plant establishment or permanent erosion control establishment period, Bidder additionally agrees to perform the work within the number of working days bid.

For a cost plus time based bid on a contract with a plant establishment or permanent erosion control establishment period, Bidder additionally agrees to perform the non-establishment work within the number of working days bid for non-establishment work.

2. For a lump sum based bid, Bidder submits this bid with a total price in the total bid space provided on the Bid Item List. For a unit price or cost plus time based bid, Bidder submits this bid with a unit price and the item total (the product of the unit price and the quantity) for each item and a total price (the sum of the item totals) in the spaces provided on the attached Bid Item List. For a unit price with additive item based bid, Bidder submits this bid with a unit price and an item total for each item and a total base bid (the sum of the item totals) and the additive items in the spaces provided on the attached Bid Item List. Additionally, for a cost plus time based bid, Bidder submits this bid with working days bid for non-establishment work, total bid for time, and total bid for bid comparison in the spaces provided on the Bid Item List.

Bidder agrees:

2.1 If a discrepancy between the unit price and the item total exists, the unit price prevails except:

2.1.1 If the unit price is omitted, or the same as the item total, the item total prevails and the unit price is the quotient of the item total and the quantity.

2.1.2 If a decimal error is apparent in the product of the unit price and the quantity, the Department will use either the unit price or item total based on the closest by percentage to the unit price or item total in the Department's Final Estimate.

2.2 If the unit price and the item total are omitted, the bid may be determined nonresponsive. If a lump sum total price is omitted, the bid may be determined nonresponsive.

2.3 Bids on lump sum items are item totals. If a unit price for a lump sum item is entered and it differs from the item total, the item total prevails.

2.4 Entries are to be expressed in dollars or decimal fractions of a dollar. Symbols such as commas and dollar signs are ignored and have no significance in establishing unit price or item total.

2.5 Unit prices and item totals are interpreted by the number of digits and decimal placement. Do not round item totals or the total bid.

2.6 For a lump sum based bid, the item total is the bid amount the Department uses for bid comparison.

For a unit price based bid, the sum of the item totals is the bid amount the Department uses for bid comparison.

For a cost plus time based bid, the sum of the item totals and the total bid for time is the bid amount the Department uses for bid comparison.

2.7 The Department's decision on the bid amount is final.

3. Bidder has and acknowledges the following addenda: 1

4. Bidder submits this bid with one of the following forms of bidder's security equal to at least 10 percent of the bid, including any additive items:

Electronic Bidder's Bond

5. Bidder's signature is an affirmation of the included certifications. Bidder is cautioned that making a false certification may result in one or more of the following:

5.1 Criminal prosecution

5.2 Rejection of the bid

5.3 Rescission of the award

5.4 Termination of the Contract

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BY (Authorized Signature) ;                      DATE SIGNED (Do not type)

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PRINTED NAME AND TITLE OF PERSON SIGNING

ITEM NUMBER	ITEM CODE	QUANTITY	UNIT OF MEASURE	UNIT PRICE	ITEM TOTAL
BID ITEM LIST 1					
BID ITEM LIST					
0001	070030	1.000	LS	\$1,500.00	\$1,500.00
	LEAD COMPLIANCE PLAN				
0002	090100	25.000	WDAY	\$4,000.00	\$100,000.00
	TIME-RELATED OVERHEAD (WDAY)				
0003	120090	1.000	LS	\$8,000.00	\$8,000.00
	CONSTRUCTION AREA SIGNS				
0004	120100	1.000	LS	\$261,307.00	\$261,307.00
	TRAFFIC CONTROL SYSTEM				
0005	120152	490.000	SQFT	\$3.00	\$1,470.00
	TEMPORARY PAVEMENT MARKING (TAPE)				
0006	010413	1.000	LS	\$1,300.00	\$1,300.00
	PORTABLE RADAR SPEED FEEDBACK SIGN SYSTEMS (LS)				
0007	128652	1.000	LS	\$3,600.00	\$3,600.00
	PORTABLE CHANGEABLE MESSAGE SIGN (LS)				
0008	130100	1.000	LS	\$4,500.00	\$4,500.00
	JOB SITE MANAGEMENT				
0009	130201	1.000	LS	\$1,000.00	\$1,000.00
	WATER POLLUTION CONTROL PROGRAM				
0010	141120	2720.000	LB	\$0.75	\$2,040.00
	TREATED WOOD WASTE				
0011	190185	1780.000	TON	\$63.00	\$112,140.00
	SHOULDER BACKING				
0012	390095	150.000	CY	\$600.00	\$90,000.00
	REPLACE ASPHALT CONCRETE SURFACING				
0013	390132	7030.000	TON	\$156.00	\$1,096,680.00
	HOT MIX ASPHALT (TYPE A)				
0014	397005	31.000	TON	\$500.00	\$15,500.00
	TACK COAT				
0015	398200	7730.000	SQYD	\$3.20	\$24,736.00
	COLD PLANE ASPHALT CONCRETE PAVEMENT				
0016	810120	2760.000	EA	\$1.00	\$2,760.00
	REMOVE PAVEMENT MARKER				
0017	810250	2760.000	EA	\$12.00	\$33,120.00
	PAVEMENT MARKER (RETROREFLECTIVE-RECESSED)				
0018	820151	3.000	EA	\$100.00	\$300.00
	OBJECT MARKER (TYPE L-1)				
0019	820270	1.000	EA	\$300.00	\$300.00
	REMOVE ROADSIDE SIGN (WOOD POST)				
0020	820750	16.000	SQFT	\$38.50	\$616.00
	FURNISH SINGLE SHEET ALUMINUM SIGN (0.063"-UNFRAMED)				
0021	820840	1.000	EA	\$700.00	\$700.00
	ROADSIDE SIGN - ONE POST				
0022	832006	120.000	LF	\$80.00	\$9,600.00
	MIDWEST GUARDRAIL SYSTEM (STEEL POST)				
0023	832070	120.000	SQYD	\$87.00	\$10,440.00

## VEGETATION CONTROL (MINOR CONCRETE)

0024	839580	1.000 EA	\$1,800.00	\$1,800.00
		END ANCHOR ASSEMBLY (TYPE SFT-M)		
0025	015299	1.000 EA	\$4,000.00	\$4,000.00
		ALTERNATIVE IN-LINE TERMINAL TL-3		
0026	839752	170.000 LF	\$10.00	\$1,700.00
		REMOVE GUARDRAIL		
0027	840515	1030.000 SQFT	\$10.00	\$10,300.00
		THERMOPLASTIC PAVEMENT MARKING		
0028	846035	880.000 SQFT	\$5.00	\$4,400.00
		REMOVE THERMOPLASTIC PAVEMENT MARKING		
0029	847104	98200.000 LF	\$3.00	\$294,600.00
		6" THERMOPLASTIC TRAFFIC STRIPE (ENHANCED WET NIGHT VISIBILITY) (RECESSED)		
0030	847118	120.000 LF	\$4.00	\$480.00
		8" THERMOPLASTIC TRAFFIC STRIPE (ENHANCED WET NIGHT VISIBILITY) (RECESSED)		
0031	872135	1.000 LS	\$21,800.00	\$21,800.00
		MODIFYING TRAFFIC MONITORING STATIONS		
0032	129161	50.000 EA	\$26.00	\$1,300.00
		AUTOMATED FLAGGER ASSISTANCE DEVICE DAY		
Section 1 Total				\$2,121,989.00
Item Total				\$2,121,989.00

**STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION**  
**SUBCONTRACTOR LIST**  
**DES-OE-0102.2C (REV. 3/2015)**

Enter Bid Item Number. (Item)

Enter percent of Bid Item subcontracted. (%)

If the percentage of the bid item work is less than 100 percent, list the description of the portion of the work to be subcontracted. Enter the description of the percentage of work to be performed by subcontractor. (Description)

DO NOT USE SEMICOLONS (;) IN YOUR INPUT.

Sample Data Entry:

Item	%	Description
6	75	striping
42	15	reinforcement
54	100	

1) List this subcontractor? ☒ YES ☐ NO  
 Business Name T and S DVBE, Inc. Location City Redding State CA  
 California Contractor License Number 983087 Public Works Contractor Registration  
 Number 1000007688  
 Portion of Work Subcontracted  

Item	%	Description
3	100	
4(P)	25.5	Pilot Car, Flagging and Rumble Strips
6	100	
7	100	
18	100	

2) List this subcontractor? ☒ YES ☐ NO  
 Business Name T and S DVBE, Inc. Location City Redding State CA  
 California Contractor License Number 983087 Public Works Contractor Registration  
 Number 1000007688  
 Portion of Work Subcontracted  

Item	%	Description
19	100	
20	100	
21	100	
32	100	

3) List this subcontractor? ☒ YES ☐ NO  
 Business Name Apex Fence Co., Inc. Location City Anderson State CA  
 California Contractor License Number 269127 Public Works Contractor Registration  
 Number 1000007379  
 Portion of Work Subcontracted  

Item	%	Description
10	100	
22	100	
23	100	
24	100	
25	100	

4) List this subcontractor? ☒ YES ☐ NO  
 Business Name Apex Fence Co., Inc. Location City Anderson State CA  
 California Contractor License Number 269127 Public Works Contractor Registration  
 Number 1000007379

Portion of Work Subcontracted		Description
Item	%	
26	100	

5) List this subcontractor? ☒ YES ☐ NO

Business Name	Central Striping Service, Inc.	Location City	Rancho Cordova	State	CA
California Contractor License Number	403896	Public Works Contractor	Registration		
Number	1000006499				

Portion of Work Subcontracted		Description
Item	%	
4(P)	18.4	Traffic Control for Striping
17	100	
27	100	
28	100	
29	100	

6) List this subcontractor? ☒ YES ☐ NO

Business Name	Central Striping Service, Inc.	Location City	Rancho Cordova	State	CA
California Contractor License Number	403896	Public Works Contractor	Registration		
Number	1000006499				

Portion of Work Subcontracted		Description
Item	%	
30	100	

7) List this subcontractor? ☒ YES ☐ NO

Business Name	Studebaker Electric, Inc.	Location City	Loomis	State	CA
California Contractor License Number	957296	Public Works Contractor	Registration		
Number	1000003360				

Portion of Work Subcontracted		Description
Item	%	
31	100	

8) List this subcontractor? ☐ YES ☐ NO

Business Name	Location City	State	
California Contractor License Number	Public Works Contractor	Registration Number	
Portion of Work Subcontracted			
Item	%	Description	

9) List this subcontractor? ☐ YES ☐ NO

Business Name	Location City	State	
California Contractor License Number	Public Works Contractor	Registration Number	
Portion of Work Subcontracted			
Item	%	Description	

10) List this subcontractor? ☐ YES ☐ NO

Business Name	Location City	State	
California Contractor License Number	Public Works Contractor	Registration Number	



Portion of Work Subcontracted

Item

%

Description

11) List this subcontractor? ☐ YES ☐ NO

Business Name Location City State

California Contractor License Number Public Works Contractor Registration Number

Portion of Work Subcontracted

Item

%

Description

12) List this subcontractor? ☐ YES ☐ NO

Business Name Location City State

California Contractor License Number Public Works Contractor Registration Number

Portion of Work Subcontracted

Item

%

Description

13) List this subcontractor? ☐ YES ☐ NO

Business Name Location City State

California Contractor License Number Public Works Contractor Registration Number

Portion of Work Subcontracted

Item

%

Description

14) List this subcontractor? ☐ YES ☐ NO

Business Name Location City State

California Contractor License Number Public Works Contractor Registration Number

Portion of Work Subcontracted

Item

%

Description

15) List this subcontractor? ☐ YES ☐ NO

Business Name Location City State

California Contractor License Number Public Works Contractor Registration Number

Portion of Work Subcontracted

Item

%

Description

16) List this subcontractor? ☐ YES ☐ NO

Business Name Location City State

California Contractor License Number Public Works Contractor Registration Number

Portion of Work Subcontracted

Item

%

Description

17) List this subcontractor? ☐ YES ☐ NO

Business Name      Location City      State  
California Contractor License Number      Public Works Contractor Registration Number  
Portion of Work Subcontracted  
Item                      %                      Description

18) List this subcontractor? ☐ YES ☐ NO

Business Name      Location City      State  
California Contractor License Number      Public Works Contractor Registration Number  
Portion of Work Subcontracted  
Item                      %                      Description

19) List this subcontractor? ☐ YES ☐ NO

Business Name      Location City      State  
California Contractor License Number      Public Works Contractor Registration Number  
Portion of Work Subcontracted  
Item                      %                      Description

20) List this subcontractor? ☐ YES ☐ NO

Business Name      Location City      State  
California Contractor License Number      Public Works Contractor Registration Number  
Portion of Work Subcontracted  
Item                      %                      Description

21) List this subcontractor? ☐ YES ☐ NO

Business Name      Location City      State  
California Contractor License Number      Public Works Contractor Registration Number  
Portion of Work Subcontracted  
Item                      %                      Description

22) List this subcontractor? ☐ YES ☐ NO

Business Name      Location City      State  
California Contractor License Number      Public Works Contractor Registration Number  
Portion of Work Subcontracted  
Item                      %                      Description

23) List this subcontractor? ☐ YES ☐ NO

Business Name      Location City      State

California Contractor License Number      Public Works Contractor Registration Number

Portion of Work Subcontracted

Item      %      Description

24) List this subcontractor? ☐ YES ☐ NO

Business Name      Location City      State

California Contractor License Number      Public Works Contractor Registration Number

Portion of Work Subcontracted

Item      %      Description

25) List this subcontractor? ☐ YES ☐ NO

Business Name      Location City      State

California Contractor License Number      Public Works Contractor Registration Number

Portion of Work Subcontracted

Item      %      Description

26) List this subcontractor? ☐ YES ☐ NO

Business Name      Location City      State

California Contractor License Number      Public Works Contractor Registration Number

Portion of Work Subcontracted

Item      %      Description

27) List this subcontractor? ☐ YES ☐ NO

Business Name      Location City      State

California Contractor License Number      Public Works Contractor Registration Number

Portion of Work Subcontracted

Item      %      Description

28) List this subcontractor? ☐ YES ☐ NO

Business Name      Location City      State

California Contractor License Number      Public Works Contractor Registration Number

Portion of Work Subcontracted

Item      %      Description

29) List this subcontractor? ☐ YES ☐ NO

Business Name	Location City	State	
California Contractor License Number			Public Works Contractor Registration Number
Portion of Work Subcontracted			
Item	%		Description

30) List this subcontractor? ☐ YES ☐ NO

Business Name	Location City	State	
California Contractor License Number			Public Works Contractor Registration Number
Portion of Work Subcontracted			
Item	%		Description

31) List this subcontractor? ☐ YES ☐ NO

Business Name	Location City	State	
California Contractor License Number			Public Works Contractor Registration Number
Portion of Work Subcontracted			
Item	%		Description

32) List this subcontractor? ☐ YES ☐ NO

Business Name	Location City	State	
California Contractor License Number			Public Works Contractor Registration Number
Portion of Work Subcontracted			
Item	%		Description

33) List this subcontractor? ☐ YES ☐ NO

Business Name	Location City	State	
California Contractor License Number			Public Works Contractor Registration Number
Portion of Work Subcontracted			
Item	%		Description

34) List this subcontractor? ☐ YES ☐ NO

Business Name	Location City	State	
California Contractor License Number			Public Works Contractor Registration Number
Portion of Work Subcontracted			
Item	%		Description

35) List this subcontractor? ☐ YES ☐ NO

Business Name	Location City	State	
California Contractor License Number			Public Works Contractor Registration Number
Portion of Work Subcontracted			

- | Item | % | Description |
|------|---|-------------|
|------|---|-------------|
- 36) List this subcontractor? ☐ YES ☐ NO  
Business Name      Location City      State  
California Contractor License Number      Public Works Contractor Registration Number  
Portion of Work Subcontracted  
Item      %      Description
- 37) List this subcontractor? ☐ YES ☐ NO  
Business Name      Location City      State  
California Contractor License Number      Public Works Contractor Registration Number  
Portion of Work Subcontracted  
Item      %      Description
- 38) List this subcontractor? ☐ YES ☐ NO  
Business Name      Location City      State  
California Contractor License Number      Public Works Contractor Registration Number  
Portion of Work Subcontracted  
Item      %      Description
- 39) List this subcontractor? ☐ YES ☐ NO  
Business Name      Location City      State  
California Contractor License Number      Public Works Contractor Registration Number  
Portion of Work Subcontracted  
Item      %      Description
- 40) List this subcontractor? ☐ YES ☐ NO  
Business Name      Location City      State  
California Contractor License Number      Public Works Contractor Registration Number  
Portion of Work Subcontracted  
Item      %      Description
- 41) List this subcontractor? ☐ YES ☐ NO  
Business Name      Location City      State  
California Contractor License Number      Public Works Contractor Registration Number  
Portion of Work Subcontracted  
Item      %      Description

42) List this subcontractor? ☐ YES ☐ NO

Business Name	Location	City	State	
California Contractor License Number				Public Works Contractor Registration Number
Portion of Work Subcontracted				
Item	%			Description

43) List this subcontractor? ☐ YES ☐ NO

Business Name	Location	City	State	
California Contractor License Number				Public Works Contractor Registration Number
Portion of Work Subcontracted				
Item	%			Description

44) List this subcontractor? ☐ YES ☐ NO

Business Name	Location	City	State	
California Contractor License Number				Public Works Contractor Registration Number
Portion of Work Subcontracted				
Item	%			Description

45) List this subcontractor? ☐ YES ☐ NO

Business Name	Location	City	State	
California Contractor License Number				Public Works Contractor Registration Number
Portion of Work Subcontracted				
Item	%			Description

46) List this subcontractor? ☐ YES ☐ NO

Business Name	Location	City	State	
California Contractor License Number				Public Works Contractor Registration Number
Portion of Work Subcontracted				
Item	%			Description

47) List this subcontractor? ☐ YES ☐ NO

Business Name	Location	City	State	
California Contractor License Number				Public Works Contractor Registration Number
Portion of Work Subcontracted				
Item	%			Description

48) List this subcontractor? ☐ YES ☐ NO

Business Name      Location City      State

California Contractor License Number      Public Works Contractor Registration Number

Portion of Work Subcontracted

Item      %      Description

49) List this subcontractor? ☐ YES ☐ NO

Business Name      Location City      State

California Contractor License Number      Public Works Contractor Registration Number

Portion of Work Subcontracted

Item      %      Description

50) List this subcontractor? ☐ YES ☐ NO

Business Name      Location City      State

California Contractor License Number      Public Works Contractor Registration Number

Portion of Work Subcontracted

Item      %      Description

51) List this subcontractor? ☐ YES ☐ NO

Business Name      Location City      State

California Contractor License Number      Public Works Contractor Registration Number

Portion of Work Subcontracted

Item      %      Description

52) List this subcontractor? ☐ YES ☐ NO

Business Name      Location City      State

California Contractor License Number      Public Works Contractor Registration Number

Portion of Work Subcontracted

Item      %      Description

53) List this subcontractor? ☐ YES ☐ NO

Business Name      Location City      State

California Contractor License Number      Public Works Contractor Registration Number

Portion of Work Subcontracted

Item      %      Description

54) List this subcontractor? ☐ YES ☐ NO

Business Name      Location City      State

California Contractor License Number      Public Works Contractor Registration Number

Portion of Work Subcontracted  
Item % Description

55) List this subcontractor? ☐ YES ☐ NO

Business Name Location City State

California Contractor License Number Public Works Contractor Registration Number

Portion of Work Subcontracted

Item % Description

56) List this subcontractor? ☐ YES ☐ NO

Business Name Location City State

California Contractor License Number Public Works Contractor Registration Number

Portion of Work Subcontracted

Item % Description

57) List this subcontractor? ☐ YES ☐ NO

Business Name Location City State

California Contractor License Number Public Works Contractor Registration Number

Portion of Work Subcontracted

Item % Description

58) List this subcontractor? ☐ YES ☐ NO

Business Name Location City State

California Contractor License Number Public Works Contractor Registration Number

Portion of Work Subcontracted

Item % Description

59) List this subcontractor? ☐ YES ☐ NO

Business Name Location City State

California Contractor License Number Public Works Contractor Registration Number

Portion of Work Subcontracted

Item % Description

60) List this subcontractor? ☐ YES ☐ NO

Business Name Location City State

California Contractor License Number Public Works Contractor Registration Number

Portion of Work Subcontracted

Item % Description





**STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION**  
**REQUEST FOR SMALL BUSINESS PREFERENCE OR NON-SMALL BUSINESS PREFERENCE**  
**DES-OE-0102.7 (NEW 2/2010)**

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COMPLETE ONE OF THE FOLLOWING:

\* SMALL BUSINESS PREFERENCE

The undersigned small business preference and certifies, under penalty of perjury, that the firm meets the requirements of 2 CA Code of Regs 1896 et seq. and is certified as a small business at the time and day of bid opening or has submitted a complete application to the Department of General Services (DGS) and is subsequently certified. The complete application and any required substantiating documentation must be received by DGS by 5:00 p.m. on bid opening date.

Small Business Certification (Reference) Number

Date:

Signature: \_\_\_\_\_

\* NON-SMALL BUSINESS PREFERENCE

The undersigned non-small business preference and notifies the Department on the Certified Small Business Listing for the Non-Small Business Preference form that it commits to subcontract at least 25 percent of its bid amount with one or more firms that meets the requirements of 2 CA Code of Regs 1896 et seq. and the firms are certified as small businesses at the time and day of bid opening or have submitted a complete application and are subsequently certified by the Department of General Services (DGS). The complete application and any required substantiating documentation must be received by DGS by 5:00 p.m. on bid opening date. List these firms on the Certified Small Business Listing for the Non-Small Business Preference form.

Date:

Signature: \_\_\_\_\_

**STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION**  
**CALIFORNIA COMPANY PREFERENCE**  
**DES-OE-0102.9 (NEW 11/2008)**

**This form must be completed by all bidders. Failure of a non-California company to fill out and sign this form may be cause for rejection of its bid. Eligibility for a reciprocal preference for a California company is waived if the California company fails to complete and sign this form under penalty of perjury.**

**The undersigned certifies that it is a "California company" as defined in Pub Cont Code §6107 and meets one of the following (check appropriate box and enter requested information):**

☒ I am a California company which has its principal place of business in California.

or

☐ I am a California company which has its principal place of business in a state in which there is no local contractor preference on construction contracts.

Name of State \_\_\_\_\_

or

☐ I am a California company which has its principal place of business in a state in which there is a local contractor preference and my company has paid not less than \$5,000 in sales or use taxes to California for construction related activity for each of the 5 years immediately preceding the submission of the bid.

Name of State \_\_\_\_\_

California Sales or Use Tax No. \_\_\_\_\_

or

**The undersigned certifies that it is not a "California Company."**

☐ I am not a California company. My principal place of business is in: (Enter state or country)

Describe any and all bid preferences provided to your company by the state or country in which your company has its principal place of business.

Describe \_\_\_\_\_

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date: \_\_\_\_\_

Signature of Bidder: \_\_\_\_\_

**STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION**  
**OPT OUT OF PAYMENT ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS**  
**DES-OE-01012.a (REV 8/2012)**

To opt out of payment adjustments for price index fluctuations as specified, complete this form.

I opt out of the payment adjustments for price index fluctuations.

Enter Date and Name to opt out of payment adjustments for price index fluctuations.

Date:

Bidder's Name:

\_\_\_\_\_

Signature of Bidder: \_\_\_\_\_

**STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION**  
**SMALL BUSINESS/DVBE/DBE CERTIFICATION STATUS**  
**DES-OE-0102.4 (REV 1/2024)**

Are you certified as a "Small Business" by the Office of Small Business and DVBE Services of the Department of General Services of the State of California?:

☐ YES ☒ NO Certification number?:

Are you certified as a "Disabled Veteran Business Enterprise" by the Office of Small Business and DVBE Services of the Department of General Services of the State of California?:

☐ YES ☒ NO Certification number?:

Are you certified as a "Disadvantaged Business Enterprise" by the California Unified Certification Program (CUCP)?:

☐ YES ☒ NO Certification number?:

Note: This questionnaire is included for statistical reporting only.

**STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION**  
**CERTIFIED SMALL BUSINESS LISTING FOR THE NON-SMALL BUSINESS PREFERENCE**  
**DOT OCR-0011 (REV 01/2024)**

List the description of work, name, telephone number, certification number, and dollar amount of each certified small business who will be used for non-small business preference on this project. Failure to submit a completed Certified Small Business Listing for the Non-Small Business Preference form by 4 p.m. on the 4th business day after bid opening will result in a nonresponsive bid. Attach additional sheets if necessary.

Submit to:

MS 43  
Office Engineer  
Department of Transportation  
1727 30th Street  
Sacramento, CA 95816-7005

**Non-Small Business Preference-Certification**

As an authorized representative of the bidder, if the bidder is awarded the contract, the bidder is committed to use the small businesses shown on this form to meet the non-small business preference. The work to be performed in fulfillment of the contract requirements will be Commercially Useful Function (CUF) complaint in accordance with the requirements in Government Code section 14837, subdivision(d)(4). I certify under penalty of the perjury that the foregoing is true and correct.

Bidder's Authorized Representative Name

\_\_\_\_\_  
Bidder's Authorized Representative Signature

\_\_\_\_\_  
DATE

Email Address

Total Claimed Participation for Non-Small Business Preference: \$

Total Claimed Participation for Non-Small Business Preference: %

Bid Item Number:

Description of Work, Service, or Materials:

Certified Small Business Name: Telephone No. :

Certification No. : \$ Amount :

Bid Item Number:

Description of Work, Service, or Materials:

Certified Small Business Name: Telephone No. :

Certification No. : \$ Amount :

Bid Item Number:

Description of Work, Service, or Materials:

Certified Small Business Name: Telephone No. :

Certification No. : \$ Amount :

Bid Item Number:

Description of Work, Service, or Materials:

Certified Small Business Name: Telephone No. :

Certification No. : \$ Amount :

Bid Item Number:

Description of Work, Service, or Materials:

Certified Small Business Name: Telephone No. :

Certification No. : \$ Amount :

Bid Item Number:

Description of Work, Service, or Materials:

Certified Small Business Name: Telephone No. :

Certification No. : \$ Amount :

Bid Item Number:

Description of Work, Service, or Materials:

Certified Small Business Name: Telephone No. :

Certification No. : \$ Amount :

Bid Item Number:

Description of Work, Service, or Materials:

Certified Small Business Name: Telephone No. :

Certification No. : \$ Amount :

**STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION  
CERTIFICATIONS****UNDOCUMENTED ALIENS EMPLOYMENT**

Under Pub Cont Code § 6101, the Bidder certifies compliance with state and federal law respecting the employment of undocumented aliens.

**NONCOLLUSION****NONCOLLUSION AFFIDAVIT TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID**

Under PCC 7106 and 23 USC 112, the bidder declares as follows:  
State of CA County of Shasta

Name: Perry Thompson ,  
being first duly sworn, deposes and says that he or she is President of Hat Creek Construction & Materials, Inc.  
the party making the foregoing bid that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

**CHILD SUPPORT COMPLIANCE ACT**

Under Pub Cont Code § 7110, the contractor acknowledges that:

1. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and
2. The contractor to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the Employment Development Department.



## NATIONAL LABOR RELATIONS BOARD

Under Pub Cont Code 10232, the contractor, swears under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the contractor within the immediately preceding two year period because of the contractor's failure to comply with an order of a federal court which orders the contractor to comply with an order of the National Labor Relations Board.

## VIOLATION OF LAW OR A SAFETY REGULATION

Under Pub Cont Code 10162, the Bidder must complete, under penalty of perjury, the following questionnaire:

Has the Bidder, any officer of the Bidder, or any employee of the Bidder who has a proprietary interest in the Bidder, ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation?

☐ YES ☒ NO

If the answer is yes, explain the circumstances in the following space.

## ANTITRUST LAW

Under Pub Con Code § 10285.1, the Bidder declares under penalty of perjury under the laws of the State of California that the Bidder ☐ has ☒ has not been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Pub Cont Code § 1101, with any public entity, as defined in Pub Cont Code § 1100, including the Regents of the University of California or the Trustees of the California State University. The term "Bidder" includes any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.

If the Bidder has been convicted of an offense within the past 3 years, provide the conviction details including the date and ultimate resolution of each conviction in the space below.

## PERMITS, LICENSES, AGREEMENTS, CERTIFICATIONS, AND RAILROAD RELATIONS AND INSURANCE REQUIREMENTS

Bidder acknowledges that permits, licenses, agreements, certifications, and the requirements in the document titled "Railroad Relations and Insurance Requirements" are components of the Contract under section 5-1.02 of the Standard Specifications.

**BIDDER RESPONSIBILITY QUESTIONNAIRE**

Failure to truthfully answer the following questions will result in a finding that the bid is nonresponsive. The Bidder must complete, under penalty of perjury, the following questionnaire:

1. Within the past 10 years, has the Bidder been found to be a nonresponsive bidder by any public entity, including federal, State, local, or regional entities?

☐ YES ☒ NO

2. Within the past 10 years, have any of the Bidder's officers or employees with a proprietary interest in the Bidder been determined to be a nonresponsive bidder by a public entity, including federal, State, local or regional entities?

☐ YES ☒ NO

3. Is there any officer or employee of the Bidder who now has or has had any proprietary interest in another company that bid or bids on public works projects whose company has been determined to be a nonresponsive bidder by any public entity, including federal, State, local, or regional entities?

☐ YES ☒ NO

4. If the answer to any of the 3 preceding questions is yes, disclose all pertinent details of the determination of nonresponsibility, including:

4.1. Date of each nonresponsibility determination

4.2. Name of each public agency issuing the nonresponsibility determination and a contact person at that agency who would have information about the determination

4.3. Contract number for each nonresponsibility determination

**Executive Order N-6-22 - Russia Sanctions**

On March 4, 2022, Governor Gavin Newsom issued Executive Order N-6-22 (the EO) regarding Economic Sanctions against Russia and Russian entities and individuals. "Economic Sanctions" refers to sanctions imposed by the U.S. government in response to Russia's actions in Ukraine, as well as any sanctions imposed under state law unless the contract has been Federalized (i.e. there is federal participation in any phase). By submitting a bid or proposal, Contractor represents that it is not a target of Economic Sanctions. Should the State determine Contractor is a target of Economic Sanctions or is conducting prohibited transactions with sanctioned individuals or entities,

that shall be grounds for rejection of the Contractor's bid/proposal any time prior to contract execution, or, if determined after contract execution, shall be grounds for termination by the State.

END CERTIFICATIONS - STATE

**Bidder's Bond****DES-OE-0102.3 (REV 3/2008)**

Bidder's Security: Electronic Bidder's Bond

If electronic Bid Bond data is not submitted with bid, submit Bidder's Security. Bidder's Security must be received by Office Engineer before bid opening date and time. Bid Bond forms can be downloaded from the Caltrans Electronic Bidding website at: [http://www.dot.ca.gov/hq/esc/oe/electronic\\_bidding/electronic\\_bidding.html](http://www.dot.ca.gov/hq/esc/oe/electronic_bidding/electronic_bidding.html). Bid Bond forms are also the last 2 pages of the printout of the electronic bid.

Bond ID: SCA0428476052

Bond Registry: Surety2000

Bond Percent: 10

**STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION**

Contract No. 02-1K0804

**BIDDER'S BOND**

Bond No. \_\_\_\_\_

**DES-OE-0102.3 (REV 3/2008)**

We \_\_\_\_\_

\_\_\_\_\_ as Principal, and  
\_\_\_\_\_

as Surety are bound unto the State of California, Department of Transportation, hereafter referred to as "Obligee", in the penal sum of ten percent (10%) of the total amount of the bid of the Principal submitted to the Obligee for the work described below, for the payment of which sum we bind ourselves, jointly and severally,

**THE CONDITION OF THIS OBLIGATION IS SUCH, THAT:**

WHEREAS, the Principal is submitting a bid to the Obligee, for CONSTRUCTION ON STATE HIGHWAY IN

MODOC COUNTY NEAR LIKELY FROM LASSEN COUNTY LINE TO 0.8

MILE NORTH OF COUNTY ROAD 189

In District 02 On Route 395 for which bids are to be opened at 1727 30th Street, Sacramento CA 95816 on Wednesday, May 07, 2025

NOW, THEREFORE, if the Principal is awarded the contract, and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files two bonds with the Obligee, one to guarantee faithful performance (if specified in the contract) of the contract and the other to guarantee payment for labor and materials as provided by law, then this obligation shall be null and void; otherwise, it shall remain in full force.

In the event a suit is brought upon this bond by the Obligee and judgment is recovered, the Surety shall pay all costs incurred by the Obligee in such suit, including a reasonable attorney's fee to be fixed by the court.

Dated \_\_\_\_\_, 20\_\_\_\_\_.

\_\_\_\_\_  
*Principal*\_\_\_\_\_  
*Surety*\_\_\_\_\_  
Address of Surety (For correspondence or claims)

By \_\_\_\_\_

*Attorney-in-Fact*

**CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT**

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A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

---

State of California

County of \_\_\_\_\_

On this \_\_\_\_\_ before me, \_\_\_\_\_ ,  
Date Here Insert Name and Title of the Officer

personally appeared \_\_\_\_\_ ,  
Name(s) of Signer(s)

---

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity (ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

(SEAL)


Signature \_\_\_\_\_  
Signature of Notary Public

# Bid Bond in Accordance with Contract Specifications

Be sure to refer to the actual bond documents referenced in the contract specifications for specific terms before completing this form.

<b>PRINCIPAL NAME</b>  Hat Creek Construction & Materials, Inc.	<b>PRINCIPAL ADDRESS</b>  24339 HWY 89 N., Burney, CA 96013
<b>SURETY NAME</b>  Fidelity and Deposit Company of Maryland, Zurich American Insurance Company	<b>SURETY ADDRESS</b>  1299 Zurich Way, 10th FL, Schaumburg, IL 60196
<b>OBLIGEE NAME</b>  State of California, Department of Transportation	<b>OBLIGEE ADDRESS</b>  1727 30th Street, Bidders' Exchange, MS 26, Sacramento, CA 95816

## Bond Information

<b>BID DATE</b>  05/07/2025	<b>CONTRACT ID</b>  02-1K0804	<b>CONTRACT VENDOR ID</b>  VC0000014024
<b>PROJECT DESCRIPTION</b> Construction on State Highway in Modoc County near Likely from Lassen County line to 0.8 mile North of County Road 189		
<b>AMOUNT OF BID SECURITY</b>  10%	<b>AMOUNT OF BID SECURITY-SPELLED OUT</b> Ten Percent of the Total Amount Bid	
<b>BOND ENTERED AND EXECUTED BY</b>  Elizabeth Collodi		<b>ATTORNEY-IN-FACT SIGNATURE</b> 

Know all men by these presents that Fidelity and Deposit Company of Maryland, Zurich American Insurance Company, a Corporation duly organized under the laws of the State of Illinois, are held and firmly bound unto the above owner/obligee by the transmission. The surety agrees to waive the statute of fraud defense and further agrees that the owner/obligee is a third party beneficiary of the waiver for the purposes of enforcing this bid bond.

**ZURICH AMERICAN INSURANCE COMPANY  
COLONIAL AMERICAN CASUALTY AND SURETY COMPANY  
FIDELITY AND DEPOSIT COMPANY OF MARYLAND  
POWER OF ATTORNEY**

KNOW ALL MEN BY THESE PRESENTS: That the ZURICH AMERICAN INSURANCE COMPANY, a corporation of the State of New York, the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY, a corporation of the State of Illinois, and the FIDELITY AND DEPOSIT COMPANY OF MARYLAND a corporation of the State of Illinois (herein collectively called the "Companies"), by Christopher Nolan, Vice President, in pursuance of authority granted by Article V, Section 8, of the By-Laws of said Companies, which are set forth on the reverse side hereof and are hereby certified to be in full force and effect on the date hereof, do hereby nominate, constitute, and appoint Elizabeth Collodi its true and lawful agent and Attorney-in-Fact, to make, execute, seal and deliver, for, and on its behalf as surety, and as its act and deed: **any and all bonds and undertakings**, and the execution of such bonds or undertakings in pursuance of these presents, shall be as binding upon said Companies, as fully and amply, to all intents and purposes, as if they had been duly executed and acknowledged by the regularly elected officers of the ZURICH AMERICAN INSURANCE COMPANY at its office in New York, New York., the regularly elected officers of the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY at its office in Owings Mills, Maryland., and the regularly elected officers of the FIDELITY AND DEPOSIT COMPANY OF MARYLAND at its office in Owings Mills, Maryland., in their own proper persons.

The said Vice President does hereby certify that the extract set forth on the reverse side hereof is a true copy of Article V, Section 8, of the By-Laws of said Companies, and is now in force.

IN WITNESS WHEREOF, the said Vice-President has hereunto subscribed his/her names and affixed the Corporate Seals of the said **ZURICH AMERICAN INSURANCE COMPANY, COLONIAL AMERICAN CASUALTY AND SURETY COMPANY, and FIDELITY AND DEPOSIT COMPANY OF MARYLAND**, this 3rd day of January, A.D. 2025.



**ATTEST:**  
**ZURICH AMERICAN INSURANCE COMPANY  
COLONIAL AMERICAN CASUALTY AND SURETY COMPANY  
FIDELITY AND DEPOSIT COMPANY OF MARYLAND**

By: *Christopher Nolan*  
Vice President

By: *Dawn E. Brown*  
Secretary

**State of Maryland  
County of Baltimore**

On this 3<sup>rd</sup> day of January, A.D. 2025, before the subscriber, a Notary Public of the State of Maryland, duly commissioned and qualified, **Christopher Nolan, Vice President and Dawn E. Brown, Secretary** of the Companies, to me personally known to be the individuals and officers described in and who executed the preceding instrument, and acknowledged the execution of same, and being by me duly sworn, depose and saith, that he/she is the said officer of the Company aforesaid, and that the seals affixed to the preceding instrument are the Corporate Seals of said Companies, and that the said Corporate Seals and the signature as such officer were duly affixed and subscribed to the said instrument by the authority and direction of the said Corporations.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed my Official Seal the day and year first above written.

Genevieve M. Maison  
Notary Public  
My Commission Expire January 27, 2029



**Authenticity of this bond can be confirmed at [bondvalidator.zurichna.com](http://bondvalidator.zurichna.com) or 410-559-8790**





**EXTRACT FROM BY-LAWS OF THE COMPANIES**

"Article V, Section 8, Attorneys-in-Fact. The Chief Executive Officer, the President, or any Executive Vice President or Vice President may, by written instrument under the attested corporate seal, appoint attorneys-in-fact with authority to execute bonds, policies, recognizances, stipulations, undertakings, or other like instruments on behalf of the Company, and may authorize any officer or any such attorney-in-fact to affix the corporate seal thereto; and may with or without cause modify or revoke any such appointment or authority at any time."

**CERTIFICATE**

I, the undersigned, Vice President of the ZURICH AMERICAN INSURANCE COMPANY, the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY, and the FIDELITY AND DEPOSIT COMPANY OF MARYLAND, do hereby certify that the foregoing Power of Attorney is still in full force and effect on the date of this certificate; and I do further certify that Article V, Section 8, of the By-Laws of the Companies is still in force.

This Power of Attorney and Certificate may be signed by facsimile under and by authority of the following resolution of the Board of Directors of the ZURICH AMERICAN INSURANCE COMPANY at a meeting duly called and held on the 15th day of December 1998.

RESOLVED: "That the signature of the President or a Vice President and the attesting signature of a Secretary or an Assistant Secretary and the Seal of the Company may be affixed by facsimile on any Power of Attorney...Any such Power or any certificate thereof bearing such facsimile signature and seal shall be valid and binding on the Company."

This Power of Attorney and Certificate may be signed by facsimile under and by authority of the following resolution of the Board of Directors of the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY at a meeting duly called and held on the 5th day of May, 1994, and the following resolution of the Board of Directors of the FIDELITY AND DEPOSIT COMPANY OF MARYLAND at a meeting duly called and held on the 10th day of May, 1990.

RESOLVED: "That the facsimile or mechanically reproduced seal of the company and facsimile or mechanically reproduced signature of any Vice-President, Secretary, or Assistant Secretary of the Company, whether made heretofore or hereafter, wherever appearing upon a certified copy of any power of attorney issued by the Company, shall be valid and binding upon the Company with the same force and effect as though manually affixed.

IN TESTIMONY WHEREOF, I have hereunto subscribed my name and affixed the corporate seals of the said Companies,  
this 04/28/2025 . , .



*MJ Pethick*

Mary Jean Pethick  
Vice President

**TO REPORT A CLAIM WITH REGARD TO A SURETY BOND, PLEASE SUBMIT A COMPLETE DESCRIPTION OF THE CLAIM INCLUDING THE PRINCIPAL ON THE BOND, THE BOND NUMBER, AND YOUR CONTACT INFORMATION TO:**

Zurich Surety Claims  
1299 Zurich Way  
Schaumburg, IL 60196-1056  
[reportsfclaims@zurichna.com](mailto:reportsfclaims@zurichna.com)  
800-626-4577

**Authenticity of this bond can be confirmed at [bondvalidator.zurichna.com](http://bondvalidator.zurichna.com) or 410-559-8790**

